

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,085	06/15/2001	Lutfollah Maleki	06618-652001/CIT 3237

CONFIRMATION NO. 8650

20985  
FISH & RICHARDSON, PC  
4350 LA JOLLA VILLAGE DRIVE  
SUITE 500  
SAN DIEGO, CA 92122

## FORMALITIES LETTER



\*OC000000006417780\*

Date Mailed: 08/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$234.  
    ■ **\$234** for **26** total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 654.**

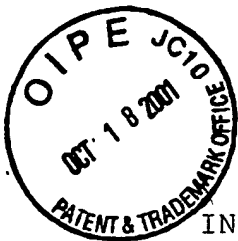
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/19/2001 HVUONG1 00000021 09883085

01 FC:205	65.00 OP
02 FC:203	234.00 OP
03 FC:201	370.00 OP



*Sector*  
*#3*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Maleki et al. Art Unit: Unknown  
Serial No.: 09/883,085 Examiner: Unknown  
Filed : June 15, 2001  
Title : DIRECT ELECTRICAL-TO-OPTICAL CONVERSION AND  
LIGHT MODULATION IN MICRO WHISPERING-GALLERY-MODE  
RESONATORS

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR \$1.53(b) mailed August 13, 2001 (a copy  
of which is enclosed), applicant as a small entity submits  
herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional/multiple dependent claims  
fees of \$234;
- ☒ Payment of the surcharge of \$65 for late filing of the  
basic filing fee and/or declaration;
- ☒ A check in the total amount of \$669 is attached; and
- ☒ A Combined Declaration and Power of Attorney in  
compliance with 37 CFR \$1.63.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail with sufficient postage on  
the date indicated below and is addressed to the Commissioner for  
Patents, Washington, D.C. 20231.

Monday, October 15, 2001

Date of Deposit

Signature

*Norman Green*

Norman Green

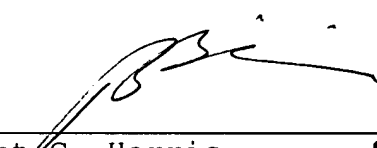
Typed or Printed Name of Person Signing Certificate

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

10-15-01

  
\_\_\_\_\_  
Scott C. Harris  
Reg. No. 32,030

**BING AI**  
**REG. NO 43,312**

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